

IN THE UNITED STATES PATENT AND PATENT  
TRADEMARK OFFICE

TRANSMITTAL OF CORRECTED APPLICATION PAPERS  
NOTICE OF ALLOWANCE MAILED

Applicant(s):	Suzanne P. Crane, et al.	Docket No.:	49335.0300 (10655.7700)
Serial No.:	09/415,632	Filed:	October 12, 1999
TITLE:	SYSTEM AND METHOD FOR DIVIDING A REMITTANCE AND DISTRIBUTING A PORTION OF THE FUNDS TO MULTIPLE INVESTMENT PRODUCTS	Group Art Unit:	3692
		Confirmation No.:	5093

Assistant Commissioner for Patents  
Mail Stop **ISSUE FEE**  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

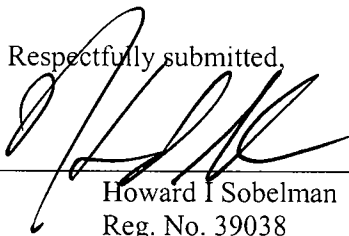
In response to the Notice to File Corrected Application Papers - Notice of Allowance Mailed (copy enclosed) mailed on November 8, 2007, in the above-identified application, enclosed herewith are the corrected drawings requested to correct the formalities in the drawings notated in the attached Notice. The original drawings contained all of the information shown on the drawings submitted herewith. Thus, no new matter is being added by submission of the enclosed drawings.

The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any **deficiency** in the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under 37 C.F.R. §§1.16-1.18 (**deficiency** only) now or hereafter relative to this Application and the resulting Official document under 37 C.F.R. §1.20, or credit any overpayment to Account No. 19-2814. **This statement does NOT authorize charge of the issue fee which has already been paid.**

Respectfully submitted,

Date: 11/15/07

By

  
Howard I. Sobelman  
Reg. No. 39038

**SNELL & WILMER L.L.P.**  
One Arizona Center  
400 East Van Buren  
Phoenix, Arizona 85004-2202  
P: (602) 382-6228  
F: (602) 382-6070  
hsobelman@swlaw.com



UNITED STATES PATENT AND TRADEMARK OFFICE

**RECEIVED**

NOV 13 2007

SNELL & WILMER

Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450  
www.uspto.gov

Serial No. : 09/415,632  
Applicant: Crane  
Filing Date : 10/12/99  
Date Mailed : 11/09/07

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

### *Notice of Allowance Mailed*

This application has been accorded an Allowance Date and is being prepared for issuance. The application, however, is incomplete for the reasons below.

Applicant is given 2 months from the mail date of this Notice within which to correct the informalities indicated below. If the informality pertains to the abstract, specification (including claims) or drawings, the informality must be corrected with an amendment in compliance with 37 CFR 1.121 (or, if the application is a reissue application, 37 CFR 1.173). Such an amendment may be filed after payment of the issue fee if limited to correction of informalities noted herein. See Waiver of 37 CFR 1.312 for Documents Required by the Office of Patent Publication, 1280 Off. Gaz. Patent Office 918 (March 23, 2004). In addition, if the informality is not corrected until after payment of the issue fee, for purposes of 35 U.S.C. 154(b)(1)(iv), "all outstanding requirements" will be considered to have been satisfied when the informality has been corrected. A failure to reply will result in the application being ABANDONED. **This period for reply is NOT extendable under 37 CFR 1.136(a).**

See attachment.

*A copy of this notice **MUST** be returned with the reply. Please address response to  
"Mail Stop Issue Fee, Commissioner for Patents,  
P.O. Box 1450, Alexandria, VA 22313-1450".*

L. Fletcher \_\_\_\_\_  
Your name  
Office of Patent Publication  
Phone: 703-308-9250, ext. 143

RECEIVED

NOV 13 2007

Application No. 09/415632 Drawings filed 10/12/99 SNEEL & WILMER

IDENTIFICATION OF DRAWING DEFICIENCIES

- ☒ There is a hole or the image thereof within the illustration. FIG(s) \_\_\_\_\_
- ☐ The character of the lines, numbers and letters is poor. FIG(s) \_\_\_\_\_
- ☐ The illustration is penetrated or traversed by a solid or broken line that is not intended to be part of the drawing, such as a dark line caused by a flaw in the copying process. FIG(s) \_\_\_\_\_
- ☐ An ink stamp or an image obscures part of the illustration. FIG(s) \_\_\_\_\_
- ☐ The drawing is marred by black smudges, obliterations, or fax/copier marks. FIG(s) \_\_\_\_\_
- ☐ Figure numbers are duplicated or missing. FIG(s). \_\_\_\_\_
- ☒ Numbers, letters, or reference characters in the drawing have been crossed out by hand or are illegibly handwritten. FIG(s) \_\_\_\_\_
- ☒ The drawing's background shows that the original drawing was made on graph paper or other paper with a pattern or decoration. FIG(s) \_\_\_\_\_
- ☐ The FIG. number label is placed in a location that causes the drawing to be read upside down. FIG(s) \_\_\_\_\_
- ☐ Data, a reference number, or part of the drawing is truncated or missing. FIG(s) \_\_\_\_\_
- ☐ The drawing is continued onto a second page (or more) without proper labeling under 37 CFR 1.84(u)(1). FIG(s) \_\_\_\_\_
- ☐ The drawing and/or the FIG. label contain(s) foreign language. FIG(s) \_\_\_\_\_
- ☐ Color drawings are present in this application but the following 37 CFR 1.84(a) requirements have not been met\*:
  - ☐ Petition filed
  - ☐ Petition fee
  - ☐ 3 sets of color drawings
  - ☐ Color drawing paragraph

\*If color drawings are not elected, then applicant **must respond** so stating. Also, references to color drawings in the specification, if any, must be amended.381.

COMMENTS: Drawings appear to have unreadable data, punch-holes and on graph paper. Please provide replacement drawings.